



DOCKET NO.: MSFT-0740/177740.1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2/5/1
#3
T.D.
05/20/04

In Re Application of:

Nicholas P. Wilt, et al.

Confirmation No.: 2351

Application No.: 10/039,035

Group Art Unit: 2151


Filing Date: January 4, 2002

Examiner: Not Yet Assigned

For: SYSTEMS AND METHODS FOR MANAGING DRIVERS IN A COMPUTING SYSTEM

DATE OF DEPOSIT: 5/12/04 ^{JAN}

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.


TYPED NAME: Thomas E. Watson
REGISTRATION NO.: 43,243

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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MAY 18 2004

Technology Center 2100

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified

application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith
- ☐ Copies of references listed on the attached Form PTO 1449 are not required to be submitted pursuant to the June 30, 2003 recent revisions to 37 CFR § 1.98(a)(2)(i).

EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. , filed

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

This form is submitted in duplicate.

Enclosed is a copy of the EPO European Search Report dated **September 2, 2003**, which indicates the references to be relevant.

There are no listed references which are not in the English language.

Date:

JEW
5/12/04



Thomas E. Watson

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Sheet 2 of 2

Form PTO-1449 ModifiedList of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)U.S. Department of Commerce
Patent and Trademark OfficeDocket No.
MSFT-0740/177740.1Application No.
10/039,035Applicant
Nicholas P. Wilt, et al.**RECEIVED****MAY 18 2004**Filing Date
January 4, 2002Group **Technology Center 2100**
2151Confirmation No.
2351**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	10	EP 1 004 962 A2	05/31/00	EPO		

EXAMINER**DATE CONSIDERED**



Sheet 1 of 2

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-0740/177740.1	Application No. 10/039,035
	Applicant Nicholas P. Wilt, et al.	
	Filing Date January 4, 2002	Group 2151
	Confirmation No. 2351	

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
6	Back, G. et al., "Techniques for the Design of Java Operating Systems", <i>USENIX Annual Technical Conference</i> , June 2000 , XP-002251714, 1-14	
7	Hunt, G. et al., "Creating User-Mode Device Drivers with a Proxy", <i>Proceedings of the 1st USENIX Windows NT Workshop</i> , August 1999 , XP-002251713, 1-6	
8	Madany, P.W., "JavaOS™: A Standalone Java™ Environment-A White Paper", <i>JavaSoft</i> , May 1996 , 3-16	
9	Ritchie, S., "Systems Programming in Java", <i>IEEE Micro</i> , May 1997 , XP-000783990, 30-35	

EXAMINER	DATE CONSIDERED
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